

**RATES FOR GRANTS AND AWARDS
MEMORANDUM**

TO: Chairmen, Chiefs of Service & Program Directors, Faculty, All Department & Program Administrators Directors of Administrative Departments

FROM: Elliot Feder - Deputy Controller

DATE: FACILITIES AND ADMINISTRATIVE RATES FOR GRANTS AND AWARDS (FORMERLY IDENTIFIED AS A INDIRECT COSTS & OVERHEAD PRIOR TO CHANGE OF TERMINOLOGY IN OMB CIRCULAR A=21)

01-09-01

A newly negotiated rate agreement with DHHS Division of Cost Allocation, covering Federal Research activity provides for facilities & administrative rates for the period from July 1, 1998 through June 30, 2003 as follows:

From:	To:	Rate
07/1/98	06/30/99	68.00%
07/1/99	06/30/00	68.00%
07/1/00	06/30/01	67.50%
07/1/01	06/30/02	67.50%
07/1/02	06/30/03	67.50%

All of the above rates are computed on a base of modified total direct costs. These new rates should be used on all applications submitted to Federal Agencies and to any other Agency that does not have fixed facilities & administrative rates.

If a grant starting date is other than July 1, the above rates must be prorated.

[Attachment A](#) is a table indicating the appropriate facilities & administrative rate for each budget period starting date. For clarification purposes to the awarding unit, a statement explaining the change in facilities & administrative rates is included as [Attachment B](#). This statement is mandatory on all Federal grant applications and recommended for all other grant applications.

Proposals not prepared in the above manner will be returned for correction.

SCHEDULE OF FACILITIES & ADMINISTRATIVE RATES BY START DATE
For Use on Grant and Contract Applications

	Fiscal Year Rates	See Below For Blended Rates
FOR PROGRAMS AWARDED 5/8/96 OR PRIOR	07/10/98	68.00%
	07/01/99	68.00%
	07/01/00	67.50%
	07/01/01	67.50%
	07/01/02 & AFTER	67.00%

	Fiscal Year Rates	See Below For Blended Rates
FOR PROGRAMS AWARDED 5/9/96 THROUGH 10/9/96	07/01/98 & AFTER	71.00%

	Fiscal Year Rates	See Below For Blended Rates
FOR PROGRAMS AWARDED 10/10/96 THROUGH 6/1/98	07/01/98 & AFTER	68.00%

	Fiscal Year Rates	See Below For Blended Rates
FOR PROGRAMS AWARDED 6/2/98 AND THEREAFTER	07/30/98	68.00%
	07/30/99	68.00%
	07/30/00	67.50%
	07/30/01	67.50%
	07/01/02 & AFTER	67.00%

FOR PROGRAMS

	AWARDED 5/8/96 OR PRIOR 5/8/96 OR PRIOR	FOR PROGRAMS AWARDED 5/9/96 THRU 10/9/96	FOR PROGRAMS AWARDED 6/2/98 THRU THEREAFTER
07/01/98	68.00%	71.00%	68.00%
08/01/98	68.00%	71.00%	68.00%
09/01/98	68.00%	71.00%	68.00%
10/01/98	68.00%	71.00%	68.00%

11/01/98	68.00%	71.00%	68.00%
12/01/98	68.00%	71.00%	68.00%
01/01/99	68.00%	71.00%	68.00%
02/01/99	68.00%	71.00%	68.00%
03/01/99	68.00%	71.00%	68.00%
04/01/99	68.00%	71.00%	68.00%
05/01/99	68.00%	71.00%	68.00%
06/01/99	68.00%	71.00%	68.00%
07/01/99	68.00%	71.00%	68.00%
08/01/99	67.96%	71.00%	67.96%
09/01/99	67.92%	71.00%	67.92%
10/01/99	67.87%	71.00%	67.87%
11/01/99	67.83%	71.00%	67.83%
12/01/99	67.79%	71.00%	67.79%
01/01/00	67.75%	71.00%	67.75%
02/01/00	67.71%	71.00%	67.71%
03/01/00	67.66%	71.00%	67.66%
04/01/00	67.62%	71.00%	67.62%
05/01/00	67.58%	71.00%	67.58%
06/01/00	67.54%	71.00%	67.54%
07/01/00	67.50%	71.00%	67.50%
08/01/00	67.50%	71.00%	67.50%
09/01/00	67.50%	71.00%	67.50%
10/01/00	67.50%	71.00%	67.50%
11/01/00	67.50%	71.00%	67.50%
12/01/00	67.50%	71.00%	67.50%
01/01/01	67.50%	71.00%	67.50%
02/01/01	67.50%	71.00%	67.50%
03/01/01	67.50%	71.00%	67.50%
04/01/01	67.50%	71.00%	67.50%
05/01/01	67.50%	71.00%	67.50%
06/01/01	67.50%	71.00%	67.50%
07/01/01	67.50%	71.00%	67.50%
08/01/01	67.46%	71.00%	67.46%
09/01/01	67.42%	71.00%	67.42%
10/01/01	67.37%	71.00%	67.37%
11/01/01	67.33%	71.00%	67.33%
12/01/01	67.29%	71.00%	67.29%
01/01/02	67.25%	71.00%	67.25%
02/01/02	67.21%	71.00%	67.21%

03/01/02	67.16%	71.00%	67.16%
04/01/02	67.12%	71.00%	67.12%
05/01/02	67.08%	71.00%	67.08%
06/01/02	67.04%	71.00%	67.04%
07/01/02	67.00%	71.00%	67.00%
08/01/02	67.00%	71.00%	67.00%
09/01/02	67.00%	71.00%	67.00%
10/01/02	67.00%	71.00%	67.00%
11/01/02	67.00%	71.00%	67.00%
12/01/02	67.00%	71.00%	67.00%
01/01/03	67.00%	71.00%	67.00%
02/01/03	67.00%	71.00%	67.00%
03/01/03	67.00%	71.00%	67.00%
04/01/03	67.00%	71.00%	67.00%
05/01/03	67.00%	71.00%	67.00%
06/01/03 & AFTER	67.00%	71.00%	67.00%

REQUIRED STATEMENT ON ALL FEDERAL GRANT APPLICATIONS

Facilities & Administrative rates used in this application are as follows

PERIOD

From:	To:	Rate
07/1/98	06/30/99	68.00%
07/1/99	06/30/00	68.00%
07/1/00	06/30/01	67.50%
07/1/01	06/30/02	67.50%
07/1/02	& AFTER	67.50%

The above rates have been approved by the DHHS Division of Cost Allocation
The rate used in the budget submitted to calculate Facilities & Administrative is determined by prorating the above rates equally over the period of performance. For example, a grant beginning 1/1/00 and ending 12/31/00 would have six months at 68.00% and six months at 67.50% for an effective rate of 67.75%

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